


 INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/813,372
Filing Date	March 30, 2004
First Named Inventor	Ming Li, et al.
Art Unit	2812
Examiner Name	Not Yet Assigned
SHEET 1 of 1	Attorney Docket No. MATG-395US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	US-				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
RLB	1	WO 01/03157 A1	01/11/2001			<input type="checkbox"/>

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
RLB	1	International Search Report for App. No. PCT/US2005/010492	<input type="checkbox"/>
RLB	2	G. KRAUSCH ET AL., Surface modification in the optical near field, Microelectronic Engineering, 1996, pp. 219-28, No. 32	<input type="checkbox"/>
RLB	3	ANARGYROS L. PANAYOTOPoulos, Near-field laser assisted localized crystal growth and nanodeposition, Proc. Of SPIE, 2004, pp. 676-84, Vol. 5339	<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	<i>Richard Aar</i>	Date Considered	07/23/06
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for Form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	To Be Assigned
		Filing Date	Herewith
		First Named Inventor	Ming Li et al.
		Art Unit	To Be Assigned
		Examiner Name	To Be Assigned
SHEET 1 of 2		Attorney Docket No.	MATG-395US

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
BB	1.	JP 2001-239390 A	09/04/2001	Nippon Kikyumen Lens KK; Jitsuno Takahisa	Entire Document	<input checked="" type="checkbox"/>
BB	2.	JP 2001-111200 A	04/20/2001	Matsushita Electric IND CO LTd	Entire Document	<input type="checkbox"/>
BB	3.	JP 11-16856	01/22/1999	Kanagawa Kagaku Gijutsu Akad	Entire Document	<input type="checkbox"/>
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²See Kind Codes of USPTO Patent Documents at www.uspto.gov/tmdb/2012.

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		Application Number	To Be Assigned
		Filing Date	Herewith
		First Named Inventor	Ming Li et al.
		Art Unit	To Be Assigned
		Examiner Name	To Be Assigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Attorney Docket No.	MATG-395US
SHEET 2 of 2			

NON-PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Date
Considered

05/23/06

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²Applicant is to place a check mark here if English language

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